

# FINANCIAL MARKETS LAW COMMITTEE

## ASSET MANAGEMENT SCOPING FORUM

**Date:** Thursday 23 November 2023

**Time:** 2.00pm – 3.00pm

**Venue:** Virtual via MS Teams

### AGENDA

#### Attendees:

**Matthew Baker (Speaker)**

Bryan Cave Leighton Paisner LLP

**Phil Bartram (Speaker)**

Travers Smith LLP

**Iain Cullen**

Simmons & Simmons LLP

**Jonathan Gilmour**

Travers Smith LLP

**Philippa List**

Dechert LLP

**Jon May (Moderator)**

Marshall Wace LLP

**Neil Robson**

Katten Muchin Rosenman UK LLP

**Michael Sholem**

Macfarlanes LLP

**Becky Jacombs**

FMLC Secretariat

#### Regrets

Gregg Beechey

Fried Frank Harris Shriver & Jacobson  
(London) LLP

David Gasperow

Orbis Investment Advisory Limited

Zac Mellor-Clark

Fried Frank Harris Shriver & Jacobson  
(London) LLP

Michelle Moran

K&L Gates LLP

Palvi Shah

J.P. Morgan Asset Management

**Registered Charity Number: 1164902.**

"FMLC" and "Financial Markets Law Committee" are terms used to describe a committee appointed by Financial Markets Law Committee, a limited company ("Company"). Registered office: City of London, PO Box 270, Guildhall, London EC2P 2EJ. Registered in England and Wales with number: 8733443

## **Agenda**

1. Introductions
2. AIFMD II and FCA priorities (Phil Bartram)
3. FCA's Financial Promotions Gateway (FSMA 2023 amendment) (Matthew Baker)
4. Draft Forward Schedule for 2024
5. Any other business

**Registered Charity Number: 1164902.**

"FMLC" and "Financial Markets Law Committee" are terms used to describe a committee appointed by Financial Markets Law Committee, a limited company ("Company"). Registered office: City of London, PO Box 270, Guildhall, London EC2P 2EJ. Registered in England and Wales with number: 8733443