



Financial Markets Law Committee (“FMLC”)

Asset Management Scoping Forum

Date: Thursday 6 May 2021

Time: 2.00pm to 3.30pm

Virtual meeting

Attendees:

Neil Robson (Moderator)	Katten Muchin Rosenman UK LLP
Matthew Baker	Bryan Cave Leighton Paisner LLP
Phil Bartram	Travers Smith LLP
Iain Cullen	Simmons & Simmons LLP
David Gasperow	Orbis Investment Advisory Limited
Jonathan Gilmour	Travers Smith LLP
Michelle Kirschner	Gibson, Dunn & Crutcher UK LLP
Ida Levine	Impact Investing Institute
Philippa List	Dechert LLP
Jon May	Marshall Wace LLP
Michelle Moran	K&L Gates LLP
Leonard Ng	Sidley Austin LLP
Martin Parkes	BlackRock Investment Management (UK) Limited
Selina Sagayam	Gibson, Dunn & Crutcher UK LLP
Palvi Shah	J.P. Morgan Asset Management (Victoria Embankment)
Brian Gray	FMLC
Venessa Parekh	FMLC Secretariat
Katja Trela-Larsen	FMLC Secretariat

Regrets:

Richard Chapman	AB Trading Advisors
Kirsten Lapham	Proskauer Rose LLP
Owen Lysak	Simpson Thacher & Bartlett LLP
Ezra Zahabi	Akin Gump Strauss Hauer & Feld LLP

Registered Charity Number: 1164902.

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Agenda:

1. Introductions
2. The FMLC is in technological transition (Venessa Parekh)
3. IFPR: FCA's second consultation paper on a new UK prudential regime for MiFID investment firms ¹
4. Any other business²

¹ FCA, Consultation Paper 21/7: A new UK prudential regime for MiFID investment firms (April 2021), available at: <https://www.fca.org.uk/publication/consultation/cp21-7.pdf>

² The Asset Management Scoping Forum is scheduled to next meet on 23 September at 2pm