Financial Markets Law Committee ("FMLC")

Asset Management Scoping Forum

Date: Thursday 1 October 2020 Time: 2.00pm to 3.30pm Virtual meeting

Attendees:

Philippa List (Moderator) Phil Bartram Iain Cullen David Gasperow Jonathan Gilmour Kirsten Lapham Vlad Maly Jon May Michelle Moran Leonard Ng

Venessa Parekh Chhavi Sinha Katja Trela-Larsen

Guest Speaker: David Bloom

Regrets:

Ida Levine Neil Robson Travers Smith LLP Simmons & Simmons LLP Orbis Investments Travers Smith LLP Proskauer Rose LLP Morrison & Foerster LLP Marshall Wace LLP K&L Gates LLP Sidley Austin LLP

Dechert LLP

FMLC Secretariat FMLC Secretariat FMLC Secretariat

Truist

Impact Investing Institute Katten Muchin Rosenman UK LLP



Registered Charity Number: 1164902.

"The FMLC" and "The Financial Markets Law Committee" are terms used to describe a committee appointed by Financial Markets Law Committee, a limited company ("FMLC" or "the Company"). Registered office: 8 Lothbury, London, EC2R 7HH. Registered in England and Wales. Company Registration Number: 8733443.

Agenda:

- 1. Introductions
- 2. Did you know the FMLC are moving premises? (Venessa Parekh)
- 3. MiFID II; unbundling—recent developments (David Bloom)
- 4. ESMA letter to the European Commission on AIFMD review (Philippa List)¹
- 5. Recent developments—Brexit (Philippa List)
- 6. Any other business

¹ ESMA, Letter on AIFMD review (19 August 2020), available at: https://www.esma.europa.eu/press-news/esma-news/esma-recommends-priority-topics-in-aifmd-review