Financial Markets Law Committee ("FMLC")

Asset Management Scoping Forum

Date: Thursday 6 September
Time: 1.30pm to 3.00pm
Location: Katten Muchin Rosenman UK LLP, Paternoster House, 65 St Paul's Churchyard, London EC4M 8AB

In Attendance:

Neil Robson (Chair) Katten Muchin Rosenman UK LLP
Matthew Baker Bryan Cave Leighton Paisner LLP
Philip Bartram Travers Smith LLP
Gregg Beechey Fried, Frank, Harris, Shriver & Jacobson (London) LLP
Richard Chapman AB Trading Advisors
Christopher Dearie MJ Hudson
Henrietta de Salis Willkie Farr & Gallagher (UK) LLP
David Gasperow Orbis Investment Advisory Limited
Jonathan Gilmour Travers Smith LLP
Matthew Huggett Allen & Overy LLP
Jon May Marshall Wace LLP
Tom Taylor British Private Equity & Venture Capital Association

Omotola Ariyo FMLC
Virgilio Diniz FMLC
Thomas Willett FMLC

Guest Speaker:

Jonathan Benson Allen & Overy LLP
Regrets:
Iain Cullen  
Monica Gogna  
Laura Houët  
Michael James  
Mark Kalderon  
Jírí Król  
Owen Lysak  
Michelle Moran  
Palvi Shah  

Simmons & Simmons LLP  
Dechert LLP  
CMS Cameron McKenna Nabarro Olswang LLP  
Cleary Gottlieb Steen & Hamilton LLP  
Freshfields Bruckhaus Deringer LLP  
The Alternative Investment Management Association  
Clifford Chance LLP  
K&L Gates LLP  
J.P. Morgan Asset Management

Agenda:

1. Introductions

2. Administration: Scoping Exercise—Statutory Instruments under the Withdrawal Act (Virgilio Diniz)

3. FCA Discussion Paper (DP18/5) on a duty of care and potential alternative approaches (Matthew Baker)

4. Allen & Overy LLP briefing on the U.K. Sanctions and AML regimes after Brexit (Jonathan Benson)

5. Plenary discussion on recent developments and legal uncertainties in the Asset Management industry (Neil Robson)

6. Any other business

---

1 The next meeting of Asset Management Scoping Forum will be held on Thursday 29 November between 1.30 and 3.00pm