



Financial Markets Law Committee (“FMLC”)

Asset Management Scoping Forum

Date: Thursday 6 September

Time: 1.30pm to 3.00pm

Location: Katten Muchin Rosenman UK LLP, Paternoster House, 65 St Paul's Churchyard,
London EC4M 8AB

In Attendance:

Neil Robson (Chair)	Katten Muchin Rosenman UK LLP
Matthew Baker	Bryan Cave Leighton Paisner LLP
Philip Bartram	Travers Smith LLP
Gregg Beechey	Fried, Frank, Harris, Shriver & Jacobson (London) LLP
Richard Chapman	AB Trading Advisors
Christopher Dearie	MJ Hudson
Henrietta de Salis	Willkie Farr & Gallagher (UK) LLP
David Gasperow	Orbis Investment Advisory Limited
Jonathan Gilmour	Travers Smith LLP
Matthew Huggett	Allen & Overy LLP
Jon May	Marshall Wace LLP
Tom Taylor	British Private Equity & Venture Capital Association
Omotola Ariyo	FMLC
Virgilio Diniz	FMLC
Thomas Willett	FMLC

Guest Speaker:

Jonathan Benson	Allen & Overy LLP
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Registered Charity Number: 1164902.

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Regrets:

Iain Cullen	Simmons & Simmons LLP
Monica Gogna	Dechert LLP
Laura Houët	CMS Cameron McKenna Nabarro Olswang LLP
Michael James	Cleary Gottlieb Steen & Hamilton LLP
Mark Kalderon	Freshfields Bruckhaus Deringer LLP
Jíří Król	The Alternative Investment Management Association
Owen Lysak	Clifford Chance LLP
Michelle Moran	K&L Gates LLP
Palvi Shah	J.P. Morgan Asset Management

Agenda:

1. Introductions
2. Administration: Scoping Exercise—Statutory Instruments under the Withdrawal Act (Virgilio Diniz)
3. [FCA Discussion Paper](#) (DP18/5) on a duty of care and potential alternative approaches (Matthew Baker)
4. [Allen & Overy LLP briefing](#) on the U.K. Sanctions and AML regimes after Brexit (Jonathan Benson)
5. Plenary discussion on recent developments and legal uncertainties in the Asset Management industry (Neil Robson)
6. Any other business¹

¹ The next meeting of Asset Management Scoping Forum will be held on Thursday 29 November between 1.30 and 3.00pm